

**Accompanying Tables for
Guidance for Preparing Annual Agency Technology Transfer Reports
Under the Technology Transfer Commercialization Act
FY 2013 - Environmental Protection Agency**

Table 1: Invention Disclosures and Patents

		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
	Invention Disclosures					
1	Number of new inventions disclosed	8	5	8	18	8
	Patents					
2	Number of patent applications filed	3	3	8	10	7
3	Number of patents received	9	9	12	17	16
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 2: Income Bearing Licenses

		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
	Income Bearing Licenses					
4	Number of income bearing licenses	40	37	42	39	37
5	Exclusive licenses	8	7	9	10	10
6	Partially exclusive licenses	2	2	3	4	4
7	Non-exclusive licenses	30	28	30	25	23
	Elapsed Amount of Time for Granting Licenses					
8	Average (months)	6	6	5	24	8
9	Minimum (months)	3	3	3	1	7
10	Maximum (months)	12	12	9	48	8
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 3: Licensing Income

		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
	Earned Royalty Income*					
11	Earned Royalty Income from top 1% of licenses	\$77,449	\$49,096	\$44,130	\$61,833	\$50,000
12	Earned Royalty Income from top 5% of licenses	\$100,838	\$49,096	\$44,130	\$61,833	\$93,286
13	Earned Royalty Income from top 20% of licenses	\$159,897	\$130,924	\$91,530	\$159,071	\$144,973
14	Minimum Earned Royalty Income	\$1,042	\$27	\$39	\$18	\$6
15	Maximum Earned Royalty Income	\$77,449	\$49,096	\$44,130	\$61,833	\$50,000
16	Median Earned Royalty Income	\$7,885	\$3,983	\$2,541	\$3,602	\$3,174
	Disposition of Earned Royalty Income					
17	Total amount of Earned Royalty Income received	\$255,054	\$196,614	\$135,343	\$201,263	\$192,970
18	Percent of Earned Royalty Income distributed to inventors**	N/A	N/A	N/A	N/A	74%
19	Percent of Earned Royalty Income distributed to the agency or laboratory	N/A	N/A	N/A	N/A	26%
20	Licenses terminated for cause	1	0	0	0	3
*EPA previously defined ERI as royalties associated with product sales only. Starting with FY13 EPA is using the new guidance definition of ERI as any incoming royalty payment.						
**EPA has not previously kept data on inventor royalty payments in relation to earned royalty income.						
Note: To ensure consistent calculation of earned royalty income, data from FY 2009, FY 2011, FY 2012 have been verified to reflect accurate figures. Data prior to FY 2013 are calculated using the previous ERI definition.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Enter "N/R" to report that data is not reported due to its proprietary nature. Add rows and interpretive notes as needed.						

Table 4: CRADAs

		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
	CRADAs					
21	Number of active CRADAs	112	67	84	92	112
22	Number of newly executed CRADAs	83	33	26	22	51
23	Active CRADAs with small businesses***	N/A	N/A	N/A	N/A	24
24	Number of small businesses involved in active CRADAs	N/A	N/A	N/A	N/A	26
	Traditional CRADAs					
25	Active traditional CRADAs	51	50	54	63	55
26	Newly executed traditional CRADAs	22	16	12	10	8
	Non-traditional CRADAs					
27	Active non-traditional CRADAs	61	17	30	29	57
28	Newly executed non-traditional CRADAs	61	17	14	12	43
	***This is a new metric for FY13. Only US small businesses were included.					
	Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.					

Table 5: Other Performance Measures Deemed Important by the Agency

		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
	Total Royalty Income (including earned royalty income)****	\$848,554	\$535,614	\$382,843	\$727,277	\$192,970
	Total Invention Licenses (including non-income-bearing licenses)	40	37	45	42	42
	- New, executed in the FY	3	2	6	2	2
	- Non-income bearing licenses	0	0	3	3	5
	****This includes earned royalty income as previously calculated, upfront license fees, and annual minimum payments					