

**Accompanying Tables for
Guidance for Preparing Annual Agency Technology Transfer Reports
Under the Technology Transfer Commercialization Act
FY 2015 - Environmental Protection Agency**

Table 1: Invention Disclosures and Patents

		FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	Invention Disclosures					
1	Number of new inventions disclosed	8	18	8	5	7
	Patents					
2	Number of patent applications filed	8	10	7	9	4
3	Number of patents received	12	17	16	5	7
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 2: Income Bearing Licenses

		FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	Income Bearing Licenses					
4	Number of income bearing licenses	42	39	35	33	31
5	Exclusive licenses	9	10	9	8	7
6	Partially exclusive licenses	3	4	3	2	2
7	Non-exclusive licenses	30	25	23	23	22
	Elapsed Amount of Time for Granting Licenses					
8	Average (months)	5	24	8	7	0
9	Minimum (months)	3	1	7	3	0
10	Maximum (months)	9	48	8	10	0
Note: Lines 4 and 5 were updated for FY 2013 and FY 2014 to reflect a license that was terminated in FY 2012.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 3: Licensing Income

		FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	Earned Royalty Income*					
11	Earned Royalty Income from top 1% of licenses	\$44,130	\$61,833	\$50,000	\$200,000	\$87,419
12	Earned Royalty Income from top 5% of licenses	\$44,130	\$61,833	\$93,286	\$240,547	\$114,572
13	Earned Royalty Income from top 20% of licenses	\$91,530	\$159,071	\$144,973	\$356,155	\$162,743
14	Minimum Earned Royalty Income	\$39	\$18	\$6	\$12	\$342
15	Maximum Earned Royalty Income	\$44,130	\$61,833	\$50,000	\$200,000	\$87,419
16	Median Earned Royalty Income	\$2,541	\$3,602	\$3,174	\$2,870	\$3,832
	Disposition of Earned Royalty Income					
17	Total amount of Earned Royalty Income received	\$135,343	\$201,263	\$192,970	\$438,786	\$232,318
18	Percent of Earned Royalty Income distributed to inventors**	N/A	N/A	74%	38%	30%
19	Percent of Earned Royalty Income distributed to the agency or laboratory**	N/A	N/A	26%	62%	70%
20	Licenses Terminated for Cause	0	2	3	0	0
21	Active Licenses With Small Businesses**	N/A	N/A	18	20	18
*EPA previously defined ERI as royalties associated with product sales only. Starting with FY 2013 EPA is using the new guidance definition of ERI as any incoming royalty payment.						
**EPA has not kept these data prior to FY 2013.						
Note: Line 20 was updated for FY 2012 because one license was terminated in that FY, which had not previously been reported.						
Note: Data prior to FY 2013 are calculated using the previous ERI definition.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Enter "N/R" to report that data is not reported due to its proprietary nature. Add rows and interpretive notes as needed.						

Table 4: CRADAs

		FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	CRADAs					
22	Number of active CRADAs	84	92	112	129	97
23	Number of newly executed CRADAs	26	22	51	35	23
24	Active CRADAs with small businesses***	N/A	N/A	24	24	23
25	Number of small businesses involved in active CRADAs	N/A	N/A	26	24	23
	Traditional CRADAs					
26	Active traditional CRADAs	54	63	55	52	50
27	Newly executed traditional CRADAs	12	10	8	6	12
	Non-traditional CRADAs					
28	Active non-traditional CRADAs	30	29	57	77	47
29	Newly executed non-traditional CRADAs	14	12	43	29	11
***This is a new metric starting FY 2013. EPA has no CRADAs under SBIR or STTR. However, we are reporting on US small businesses that were involved in CRADAs.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 5: Other Performance Measures Deemed Important by the Agency

		FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
30	Total Royalty Income (including earned royalty income)****	\$382,843	\$727,277	\$192,970	\$438,786	\$232,318
31	Total Invention Licenses (including non-income-bearing licenses)	45	42	40	40	37
32	- New, executed in the FY	6	2	2	6	0
33	- Non-income bearing licenses	3	3	5	7	6
34	- Number of small businesses granted licenses in the FY*****	N/A	N/A	N/A	3	0
35	Average elapsed amount of time for granting CRADAs (in months)*****	N/A	N/A	N/A	3	4
Note: Line 31 was updated for FY 2013 and FY 2014 because a license had been terminated in FY 2012, which had not previously been reported.						
****This includes earned royalty income as previously calculated, plus upfront license fees, and annual minimum payments.						
*****New metric starting in FY 2014.						